



UNECE Guidelines on SBR Maintenance of the SBR

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SBR maintenance strategy

1. Use of administrative sources
2. Use of feedback from surveys
3. Use of SBR improvement surveys
4. Profiling
5. Dealing with conflicting information
6. Maintenance groups
7. Updating schedule
8. Historical register

SBR maintenance strategy

- Constant updating of coverage and content of the SBR
- Identification and recording of new statistical units
 - Without over-coverage, under-coverage, duplication
- Identification and recording of changes in the values of the characteristics of statistical units
- Recording of the dates of changes
- Information collected just once



SBR maintenance strategy

- Sources of information
 - Administrative sources
 - Feedback from surveys
 - SBR improvement surveys
 - Rules for dealing with conflicting information
- Maintenance groups
 - Efficiency, cost effectiveness
- Time dimension
 - Timing of the updates
 - Continuity and stability rules



SBR maintenance strategy

Use of administrative sources

- One administrative source as the primary source for construction and maintenance of the SBR
- Best possible balance of coverage, content, timeliness and accuracy
- If secondary administrative sources: no duplication of units should arise
 - Same unit identification scheme as the primary source or no overlap with the primary source

SBR maintenance strategy

Use of feedback from surveys

- Identification of inactive or dead units
- Update of economic activity codes and size measures
- Possibility of bias if updating from units not sampled with certainty
 - Use only to trigger further maintenance operations

SBR maintenance strategy

Use of SBR improvement surveys

- Verify the current values of key characteristics and obtain missing values
- Conducted by the SBR on a repeated basis
- Sample size over a year determined by
 - Frequency with which changes occur
 - Quality of data from the administrative sources
 - Quality of data currently in the SBR
 - Available resources

SBR maintenance strategy

Profiling

- Produce an appropriate statistical and reporting structure for large and complex enterprises
- Profiling can be reactive or proactive

SBR maintenance strategy

Dealing with conflicting information / 1

- The SBR is updated from a range of sources, which may give conflicting values
- Sources prioritized according to
 - Methods of data collection and validation
 - Time of collection
 - Relative importance assigned to that characteristic by the source

SBR maintenance strategy

Dealing with conflicting information / 2

- Sources prioritized
 - With respect to all units
 - Differently for various categories of units
- Record the source and date of recording of the value of a characteristic

SBR maintenance strategy

Maintenance groups

- Amount of maintenance effort devoted to a statistical unit depend on
 - Size and potential impact upon published statistics
 - Propensity to change
 - Sources of updating information
- Statistical units divided by size and potential impact and propensity to change into maintenance groups
- Each maintenance group is subject to a particular set of updating procedures

SBR maintenance strategy

Updating schedule

- Schedule for maintenance of the SBR and production of frozen frames should be coordinated
 - with the supplies of data from administrative and statistical sources
 - with the production of frames for the surveys using the SBR
 - with the publication (if any) of data directly from the SBR
- Staff of surveys should be informed about
 - the SBR production calendar
 - any significant updates in SBR coverage or content

SBR maintenance strategy

Historical register

- SBR output that enables the reconstruction of the history of the units
- Recording of dates of all demographic events and changes in values of (core) characteristics

SBR maintenance procedures

1. Legal and operational units
2. Administrative units
3. Statistical units
4. Continuity rules
5. Stability rules
6. Quality management considerations

SBR maintenance procedures

Legal and operational units

- Updating information about legal and operational units linked to large enterprises is obtained through profiling

SBR maintenance procedures

Administrative units

- Information obtained from the corresponding administrative sources
- Data stored without change in the SBR
 - New administrative unit: new administrative unit recorded in the SBR
 - Changes in values: update in the SBR
 - Administrative unit cancelled or not appearing in the administrative data: activity status in the SBR changed to dead

SBR maintenance procedures

Statistical units

- Depends on the relationships associating legal, operational, and administrative units with statistical units
- Specification of updating procedures as a matrix
 - Rows: legal and administrative signals
 - Columns: types of statistical unit change that can occur
 - Cell entries: rules how each signal is reflected as an update to statistical units, taking into account continuity rules, stability rules, and rules for dealing with conflicting information

SBR maintenance procedures

Continuity rules

- Identification of the types of legal and administrative signals that result in birth, death, or continuation of statistical units
- Continuity rules take into account
 - Source of the signal of a change
 - Maintenance group to which the unit belongs
 - Ways in which changes can be detected

SBR maintenance procedures

Stability rules

- Ensuring that changes are permanent before updates are made
- Stability rules take into account
 - Types of change: permanent, temporary, seasonal
 - Impacts on frames and published statistics
 - Ways in which changes can be detected

SBR maintenance procedures

Quality management considerations

- Consideration of effects of updates on survey frames and statistics
- If necessary updates are held back temporarily to minimize impact on survey estimates
 - For large units, decisions made on a case by case basis, involving profilers and users
 - For small units rules can be automated

Links

- Swiss Statistical Business Register (SBR) (French)
<http://www.bfs.admin.ch/bfs/portal/fr/index/themen/00/05/blank/02.html>
- Guidelines on Statistical Business Registers - UNECE
<http://www.unece.org:8080/index.php?id=40574&L=0>
- University of Applied Sciences and Arts Western Switzerland HES-SO
<http://www.hevs.ch/en/>



www.statistik.admin.ch

